



EPR-PX

DECLARATION FOR U.S. PATENT APPLICATION & POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a United States patent is sought on the invention entitled:

**SYSTEM FOR CREATING, PRICING & MANAGING AND ELECTRONIC TRADING  
AND DISTRIBUTION OF CREDIT RISK TRANSFER PRODUCTS**

the specification of which is attached hereto, unless the following box is checked:

was filed on June 27, 2001, as U.S. Patent Application No. 09/894,851.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, and as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim the benefit under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application(s) on which priority is claimed: **None**.

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States patent application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of

this application:

Provisional Applications 60/215,199, 60/215,205, 60/215,200, 60/215,201, 60/215,202, 60/215,198, 60/215,203, 60/215,196, 60/215,197, 60/215,195, 60/215,194, 60/215,193, 60/215,192, 60/215,191, 60/215,190, 60/215,189, 60/215,186, 60/215,188, 60/215,187, 60/215,185, 60/215,184, and 60/215,204, all of which were filed on 29 June 2000 and were pending for the filing of the U.S. patent application referred to herein.

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith: Glenn F. Ostrager, Reg. No. 29,963; Leighton K. Chong, Reg. No. 27,621; Dennis M. Flaherty, Reg. No. 31,159; Joshua S. Broitman, Reg. No. 38,006; Manette J. Dennis, Reg. No. 30,623.

[X] Please send all correspondence to Attorney: **Leighton K. Chong**, at the Firm of **Ostrager Chong & Flaherty (Hawaii)**, **841 Bishop Street, Suite 1200, Honolulu, HI 96813-3908, Tel: (808) 533-4300**.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: 10/30/01

INVENTOR (FIRST NAMED):

Name: Jay H. Shidler  
Post Office Address:  
810 Richards Street, Ste 1000  
Honolulu, HI 96813  
Residence: Honolulu, HI  
Citizen of: USA

Page 3

Dated:

10/30/01

## INVENTOR (SECOND NAMED):



Name: Robert L. Denton  
Post Office Address:  
The Shidler Group  
9 W. 57<sup>th</sup> Street, Suite 1670  
New York, NY 10019  
Residence: New York, NY  
Citizen of: USA

## INVENTOR (THIRD NAMED):

Dated:

Name: Thomas W. Jasper  
Post Office Address:  
Primus Corporate Services, Inc.  
375 Park Avenue, Suite 1302  
New York, NY 10152  
Residence: Rye, NY  
Citizen of: USA

## INVENTOR (FOURTH NAMED):

Dated:

Name: J. Gregg Whittaker  
Post Office Address:  
1844 Kingbird Lane  
Liberty, MO 64068  
Residence: Liberty, MO  
Citizen of: USA



Dated: \_\_\_\_\_

INVENTOR (SECOND NAMED):

Name: Robert L. Denton  
Post Office Address:  
The Shidler Group  
9 W. 57<sup>th</sup> Street, Suite 1670  
New York, NY 10019  
Residence: New York, NY  
Citizen of: USA

Dated: 10/18/01

INVENTOR (THIRD NAMED):

Thomas W. Jasper  
Name: Thomas W. Jasper  
Post Office Address:  
Primus Corporate Services, Inc.  
375 Park Avenue, Suite 1302  
New York, NY 10152  
Residence: Rye, NY  
Citizen of: USA

Dated: \_\_\_\_\_

INVENTOR (FOURTH NAMED):

Name: J. Gregg Whittaker  
Post Office Address:  
1844 Kingbird Lane  
Liberty, MO 64068  
Residence: Liberty, MO  
Citizen of: USA

Page 3

INVENTOR (SECOND NAMED):

Dated: \_\_\_\_\_

Name: Robert L. Denton  
Post Office Address:  
The Shidler Group  
9 W. 57<sup>th</sup> Street, Suite 1670  
New York, NY 10019  
Residence: New York, NY  
Citizen of: USA

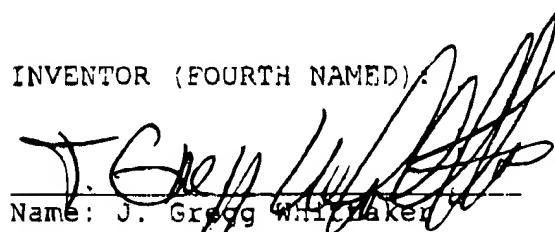
INVENTOR (THIRD NAMED):

Dated: \_\_\_\_\_

Name: Thomas W. Jasper  
Post Office Address:  
Primus Corporate Services, Inc.  
375 Park Avenue, Suite 1302  
New York, NY 10152  
Residence: Rye, NY  
Citizen of: USA

Dated: 10.19.01

INVENTOR (FOURTH NAMED):

  
Name: J. Gregg Whittaker  
Post Office Address:  
1844 Kingbird Lane  
Liberty, MO 64068  
Residence: Liberty, MO  
Citizen of: USA

Dated:

10/19/01

INVENTOR (FIFTH NAMED):

Name: Alexander C. Rainsby  
Post Office Address:  
Primus Corporate Services, Inc.  
375 Park Avenue, Suite 1302  
New York, NY 10152  
Residence: Brooklyn, NY  
Citizen of: USA

Dated:

INVENTOR (SIXTH NAMED):

Name: Walter E. Hebblethwaite  
Post Office Address:  
The Shidler Group  
810 Richards Street, Ste 1000  
Honolulu, HI 96813  
Residence: Honolulu, HI  
Citizen of: USA

Dated:

INVENTOR (SEVENTH NAMED):

Name: Alan Margol  
Post Office Address:  
The Shidler Group  
810 Richards Street, Ste 1000  
Honolulu, HI 96813  
Residence: Honolulu, HI  
Citizen of: USA

Dated:

INVENTOR (EIGHTH NAMED):

Name: Joseph P. Bauman  
Post Office Address:  
Primus Corporate Services, Inc.  
375 Park Avenue, Suite 1302  
New York, NY 10152  
Residence: Orinda, CA  
Citizen of: USA

INVENTOR (FIFTH NAMED):

Dated: \_\_\_\_\_

Name: Alexander C. Rainsby  
Post Office Address:  
Primus Corporate Services, Inc.  
375 Park Avenue, Suite 1302  
New York, NY 10152  
Residence: Brooklyn, NY  
Citizen of: USA

INVENTOR (SIXTH NAMED):

Dated: 10/30/01

W. E. Hebblethwaite  
Name: Walter E. Hebblethwaite  
Post Office Address:  
The Shidler Group  
810 Richards Street, Ste 1000  
Honolulu, HI 96813  
Residence: Honolulu, HI  
Citizen of: USA

INVENTOR (SEVENTH NAMED):

Dated: 10/30/01

Alan Margol  
Name: Alan Margol  
Post Office Address:  
The Shidler Group  
810 Richards Street, Ste 1000  
Honolulu, HI 96813  
Residence: Honolulu, HI  
Citizen of: USA

INVENTOR (EIGHTH NAMED):

Dated: \_\_\_\_\_

Name: Joseph P. Bauman  
Post Office Address:  
Primus Corporate Services, Inc.  
375 Park Avenue, Suite 1302  
New York, NY 10152  
Residence: Orinda, CA  
Citizen of: USA

FROM :  
10/18/2001 FRI 10:20 PM  
TO: 10-12-511 10:20 PM

PHONE NO. : 15102538367

Oct. 19 2001 04:02PM P2

005/010

PATENT

13267-17

Page 4

Dated: \_\_\_\_\_

INVENTOR (FIFTH NAMED):

Name: Alexander C. Rainsby  
Post Office Address:  
Primus Corporate Services, Inc.  
375 Park Avenue, Suite 1302  
New York, NY 10152  
Residence: Brooklyn, NY  
Citizen of: USA

Dated: \_\_\_\_\_

INVENTOR (SIXTH NAMED):

Name: Walter E. Habbethwaite  
Post Office Address:  
The Shidler Group  
810 Richards Street, Ste 1000  
Honolulu, HI 96813  
Residence: Honolulu, HI  
Citizen of: USA

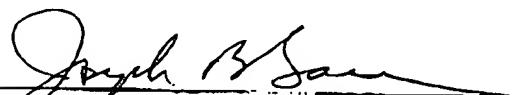
Dated: \_\_\_\_\_

INVENTOR (SEVENTH NAMED):

Name: Alan Margol  
Post Office Address:  
The Shidler Group  
810 Richards Street, Ste 1000  
Honolulu, HI 96813  
Residence: Honolulu, HI  
Citizen of: USA

Dated: 10/19/2001

INVENTOR (EIGHTH NAMED):

  
Name: Joseph P. Bauman  
Post Office Address:  
Primus Corporate Services, Inc.  
375 Park Avenue, Suite 1302  
New York, NY 10152  
Residence: Orinda, CA  
Citizen of: USA

Dated:

10/30/01

INVENTOR (NINTH NAMED):



Name: Aaron A. Eberhardt

Post Office Address:

The Shidler Group

810 Richards Street, Ste 1000

Honolulu, HI 96813

Residence: Kailua, HI

Citizen of: USA